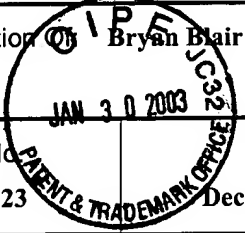


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BT

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))		Docket No. 02-6-03 1-Step Surety System	
In Re Application of Bryan Blair et al.			
Serial No. 09/738,023	Filing Date December 15, 2000	Examiner TBA	Group Art Unit 2163
<div style="text-align: center;"></div> <p>Title: <b>METHOD AND SYSTEM FOR ISSUING INSURANCE UNDERWRITING INSTRUMENTS</b></p> <div style="text-align: right;"><b>RECEIVED</b> FEB 03 2003</div>			
<p>Address to: Assistant Commissioner for Patents Washington, D.C. 20231</p> <div style="text-align: right;"><b>GROUP 3600</b></div>			
<b>37 CFR 1.97(b)</b>			
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.			
<b>37 CFR 1.97(c)</b>			
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:			
1. a Final Action under 37 CFR 1.113, or			
2. a Notice of Allowance under 37 CFR 1.311,			
whichever occurs first.			
Also submitted herewith is:			
<input type="checkbox"/> a certification as specified in 37 CFR 1.97(e);			
<b>OR</b>			
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).			

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
1-Step Surety System

In Re Application Of **Bryan Blair et al.**

Serial No  
09/738,023

Filing Date  
December 15, 2000

Examiner  
TBA

Group Art Unit  
2163

Title:

**METHOD AND SYSTEM FOR ISSUING INSURANCE UNDERWRITING INSTRUMENTS**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-2061 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

on \_\_\_\_\_  
(Date)

Signature

Typed or Printed Name of Person Signing Certificate

\*This certificate may only be used if paying by deposit account.

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on January 27, 2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

**Cheryl L. Powell**

Typed or Printed Name of Person Mailing Correspondence

Dated: **January 27, 2003**

Arthur L. Plevy, Esq.  
Registration No. 24,277

Duane Morris LLP  
100 College Road West, Suite 100  
Princeton, New Jersey 08540  
Tel: (609) 919-4402  
Fax: (609) 919-4401

CC:



1-Step Surety System

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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In re Application of :

BLAIR, Bryan et al. :

Serial No.: 09/738,023 :

Filed: December 15, 2000 :

For: METHOD AND SYSTEM FOR :  
ISSUING INSURANCE :  
UNDERWRITING :  
INSTRUMENTS :  
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Group Art Unit: 2163

Examiner: TBA

Commissioner for Patents  
Washington, D. C. 20231

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GROUP 3600

SIR:

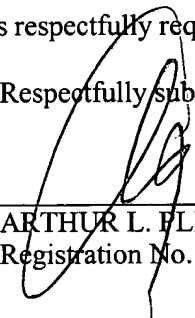
**INFORMATION DISCLOSURE STATEMENT**

In accordance with the rules relating to the duty of disclosure, 37 CFR §1.56 and §1.97,  
Applicants submit herewith Form PTO-1449 and copies of the references listed therein.

Applicants believe that no fee is due at this time, since an Official Action has not yet been  
received. If, however, a fee is deemed due, authority is hereby given to charge such fee to  
Deposit Account No. 50-2061.

Consideration and entry of these references is respectfully requested.

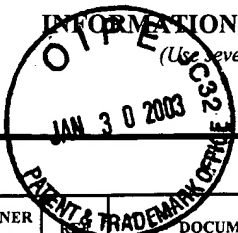
Respectfully submitted,

  
\_\_\_\_\_  
ARTHUR L. FLEVY  
Registration No. 24,277

January 27, 2003

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Princeton, New Jersey 08540  
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Facsimile: (609) 919-4401

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)	Docket Number (Optional) <b>1-Step Surety System</b>	Application Number <b>09/738,023</b>
	Applicant(s) <b>Bryan Blair et al.</b>	
	Filing Date <b>December 15, 2000</b>	Group Art Unit <b>2163</b>



**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A	5,926,792	07/20/99	Koppes et al.	705	4	09/18/98
	B	5,873,066	02/16/99	Underwood et al.	705	4	02/10/97
	C	5,523,942	06/04/96	Tyler et al.	364	401	03/31/94

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 FEB 03 2003  
**GROUP 3600**

**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.